



Notice of a public meeting of Audit & Governance Committee

To:	Councillors Potter (Chair), Brooks (Vice-Chair), Ayre, Barnes, Burton, Watson, Wiseman and Mr Whiteley (Co-opted Non-Statutory Member)
Date:	Wednesday, 12 February 2014
Time:	5.30 pm
Venue:	The Thornton Room - Ground Floor, West Offices (G039)

AGENDA

1. Declarations of Interest

At this point, Members are asked to declare:

- Any personal interests not included on the Register of Interests
- Any prejudicial interests or
- Any disclosable pecuniary interests

which they may have in respect of business on this agenda.

2. Exclusion of Press and Public

To consider the exclusion of the press and public from the meeting during consideration of Annex 1 to agenda item 12 on the grounds that it contains information relating to prevention, prosecution or investigation of crime. This information is classed as exempt under paragraph 7 of Schedule 12A to Section 100A of the Local Government Act 1972 (as revised by the Local Government (Access to Information)(Variation) Order 2006).

3. Minutes (Pages 3 - 8)

To approve and sign the minutes of the meeting of the Audit and Governance Committee held on 11 December 2013.

4. Public Participation

At this point in the meeting members of the public who have registered their wish to speak regarding an item on the agenda or an issue within the Committee's remit can do so. The deadline for registering is **5:00 pm on Tuesday 11 February 2014**. Members of the public can speak on agenda items or matters within the remit of the Committee.

To register to speak please contact the Democracy Officer for the meeting on the details at the foot of the agenda.

Please note that this meeting, including public speakers, will be sound recorded to allow members of the public to listen to the proceedings without having to attend the meeting. The sound recording will be uploaded onto the Council's website following the meeting.

5. Audit and Governance Committee Forward Plan (Pages 9 - 16)

This paper presents the future plan of reports expected to be presented to the Committee during the forthcoming year to December 2014.

6. Partnership Governance Progress Report (Pages 17 - 22)

This report updates Members regarding progress to review Partnership Governance arrangements in order to strengthen accountability and manage risk.

7. Emergency Planning/Business Continuity (Pages 23 - 30)

This report provides an update on emergency planning and business continuity arrangements.

8. Mazars Audit Progress Report (Pages 31 - 40)

This report provides an update on the progress made by Mazars in meeting their responsibilities as the Council's external auditor. It also includes key emerging national issues and developments.

9. Mazars Grant Claims Report (Pages 41 - 46)

This report presents the Mazars Certification of Claims and Returns Annual Report 2012/13.

10. Key Corporate Risk Monitor 3 (Pages 47 - 68)

This paper presents an update on the key corporate risks, and highlights in more detail any emerging risk issues with a view to Members considering any further information they would wish to receive on these matters.

11. Scrutiny of the Treasury Management Strategy Statement and Prudential Indicators (Pages 69 - 114)

This report presents the Treasury Management Strategy Statement and Prudential Indicators 2014/15 to 2018/19.

12. Fraud Risk Assessment (Pages 115 - 132)

This report informs Members about potential fraud risks that the Council is exposed to, and proposed counter fraud activity to address those risks.

13. Internal Audit Plan Consultation (Pages 133 - 136)

The purpose of this report is to seek Members' views on the priorities for internal audit for 2014/15, to inform the preparation of the annual audit plan.

14. Updating the Constitution (Pages 137 - 152)

This report seeks Members' views on proposed changes to the "Summary and Explanation" section of the Council's Constitution.

15. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

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For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.